

Better Training for Safer Food

Training course on "Animal Welfare during transport" *Initiative*

**The Role of the Competent Authority in improving Animal welfare during transport
Veterinary check and enforcement**

Author: David Pritchard

This presentation has been produced under the contract n. 2012 96 04 with the Executive Agency for Health and Consumers (EAHC). The content of it is the sole responsibility of Istituto Zooprofilattico Sperimentale dell'Abruzzo e del Molise "G. Caporale", Teramo and can in no way be taken to reflect the views of the Executive Agency for Health and Consumers or any other body of the European Union. The Executive Agency for Health and Consumers or any other body of the European Union will not be responsible under any circumstances for the contents of communication items prepared by the contractor.

Slovenia/Italy, 24-27June, 2014

Outline

- Official controls
- Legalisation+ Publicity
- Guidance
- Infrastructure
 - Welfare in Transport (WIT) team
 - (Local Authorities- Instructions)
 - IT systems TRACES, AMLS, CTS, AMES
 - Training and certification Bodies
 - Vehicle inspection Bodies
 - BIPS, Control posts, Roadside checks
- Audit

System of official controls

Regulation EC/882/2004/EU

Is there a system in place?

if yes

Could it work to achieve its goals?

if yes

Does it work?

Implementation

Summary of the organisation of Official Controls

- Efficient CA at all levels
- Legislation
- Training
- Documented procedures
- Planning and implementation of checks
- Reporting
- Verification (supervision)
- Audits
- Actions in case of non-compliance
- Sanctions

Competent authorities Regulation (EC) No 1/2005 responsibilities:

by delivering transporters authorisations;
by delivering certificate of competence of drivers;
by delivering the vehicles authorisations for long journeys;
by checking journey logs in case of long journeys;
by checking transports arriving or leaving the territory of the EU;
by checking animals arriving in assembly centres and slaughterhouses;

Competent authorities Regulation (EC) No 1/2005 responsibilities:

by checking regularly control posts where animals are rested;
by checking transports of animals on road;
by checking the fitness of animals before departure (before issuing the veterinary certificate).

They must use the TRACES system to advise recipient States of journeys and also closely collaborate in checks by offering mutual assistance

UK Approach to improving welfare by strengthening veterinary checks

- Not just about inspection & enforcement
- Behaviour change requires much more
- Animal welfare delivery strategy
- Robust system of training and instructions and IT to support enforcement E.g.
 - Animal movement Licensing system AMLS
 - Cattle tracing systems CLS
 - Animal health and welfare Management Enforcement System AMES
- Research to support policy & enforcement



Welfare delivery strategy for Great Britain

October 2007

*All those **who are responsible for animals** must ensure good standards of welfare for them and, those who have contact with, or benefit from, animals must pay due regard to their welfare.*

EU strategy 2012-2016



Animal welfare delivery strategy for Great Britain

Goal 1 - Those who care for or have contact with animals have the **necessary skills and knowledge** to ensure appropriate standards of animal welfare.

Goal 2 - Animal **welfare policy** is based on sound **scientific research, practical experience and other relevant evidence**.

Goal 3 - **Economic markets** function effectively and transparently allowing customers to make informed choices based on animal welfare standards.

Animal welfare delivery strategy for Great Britain

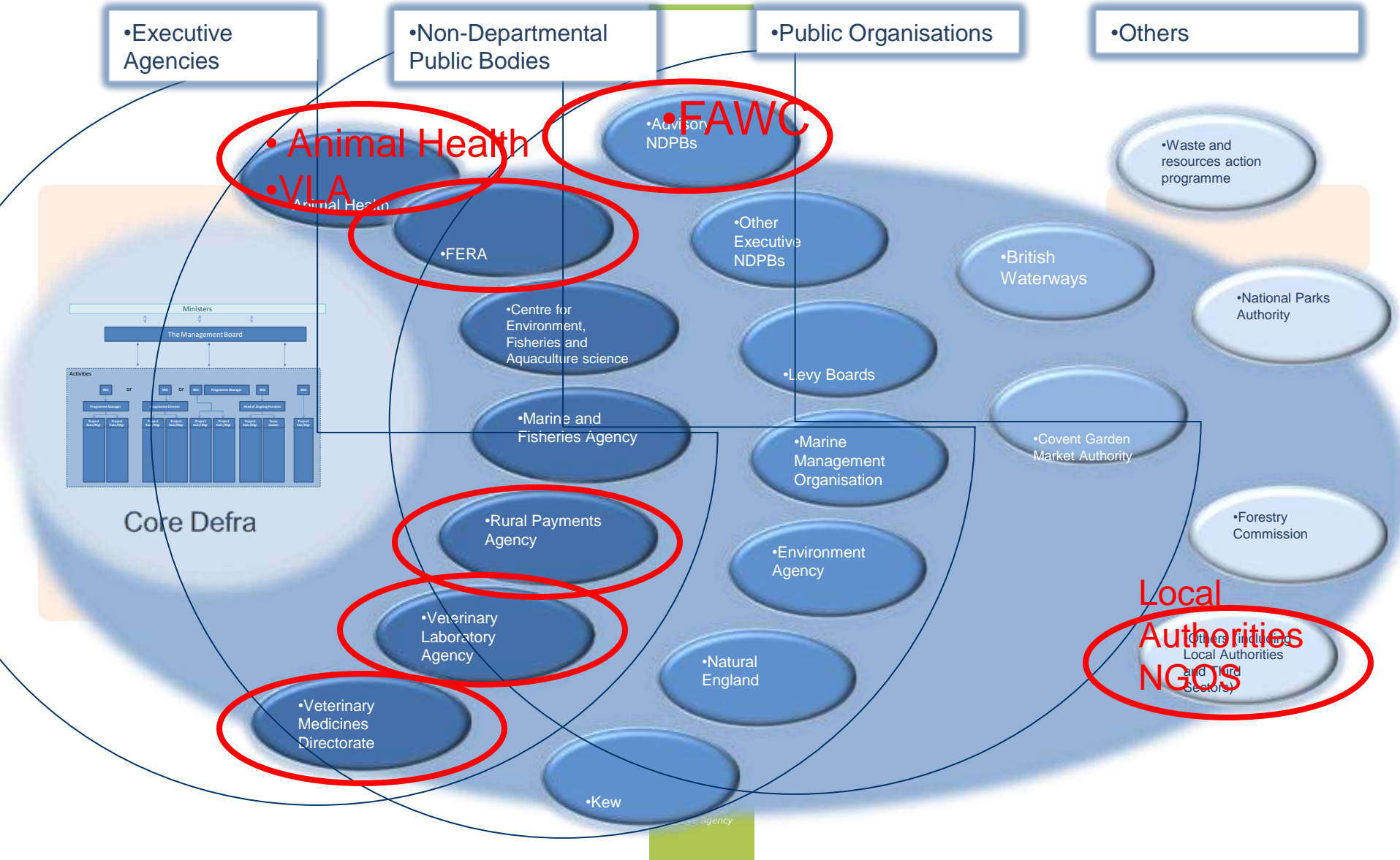
Goal 4 - Compliance with welfare rules, underpinned by **efficient and effective enforcement**, using risk-based assessments which **avoid placing unnecessary burdens** on animal keepers.

Goal 5 - Globally accepted animal welfare standards are embedded in international legislation and agreements and, are **enforced to the same standards**.

The Defra delivery network for animal welfare



European
Commission



Control and verification procedures

Documented procedures

- Instructions (i.e. for provisions which are not clear, etc.)
- Guidance (establishing procedures)
- Check lists
- Etc....

www.defra.gov.uk

Welfare of Animals During Transport

Council Regulation (EC) No 1/2005 on the protection of animals during transport and the Welfare of Animals (Transport) (England) Order 2006

Guidance Notes

WELFARE OF ANIMALS DURING TRANSPORT - GUIDANCE NOTES

**COUNCIL REGULATION (EC) No 1/2005 ON THE PROTECTION OF ANIMALS
DURING TRANSPORT AND RELATED OPERATIONS AND THE WELFARE OF
ANIMALS (TRANSPORT) (ENGLAND) ORDER 2006**

**PART 2H - GUIDANCE FOR OPERATORS AND MASTERS OF VESSELS,
CONTAINER MANUFACTURERS AND PERSONS SHIPPING FARM LIVESTOCK
AND HORSES ON COMPLIANCE WITH COUNCIL REGULATION (EC) 1/2005 ON
SHIPMENTS IN CONTAINERS**

1 Introduction

1.1 This Guidance has been prepared to assist with uniform application of Council Regulation (EC) 1/2005 on the protection of animals during transport and related operations (The Regulation) as it applies to shipments of farm animals and horses carried by sea in a container on a vessel. Enforcement of the Regulation and other related provisions will be provided in the UK through: The Welfare of Animals (Transport) (England) Order 2006; The Welfare of Animals (Transport) (Wales) Order 2007; The Welfare of Animals (Transport) (Scotland) Order 2007; and The Welfare of Animals (Transport) (Northern Ireland) Order 2007.

- **EUROGROUP FOR ANIMALS**
- **UECBV (European Livestock and Meat Trading Union)**
- **ANIMALS' ANGELS**
- **ELT (European Livestock Transporters)**
- **FVE (Federation of Veterinarians of Europe)**
- **IRU (International Road Transport Union)**

Practical Guidelines to Assess Fitness for Transport of Adult Bovines




1

/ 52





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For quick reference, we have used   and  and colour coding at the top right of the page, to help you distinguish between the different parts of the guide.



Non transportable



Possibly transportable but further assessment is needed



Transportable



Warning – take note



Quotation of legislation

If in any doubt



leave the animal out!



Reg. 882/2004 – Official controls - organisation and planning

Should be carried out:

- Regularly
- On risk basis- (noting specific legislation regarding pigs hens, broilers and calves on farm)
- With appropriate frequency

Chapter 33 - Welfare of Animals During Transport

Chapter Overview

Compliance

- ▶ Management Information
- ▶ Welfare Compliance
- ▶ Enforcement

Compliance

Management Information

- [1. Overlap with Other Areas](#)
- [2. FVO Mission: United Kingdom: Concerning Animal Welfare Implementation Of Controls For Animal Welfare Farms And During Transport: 2 September – 18 September 2009](#)

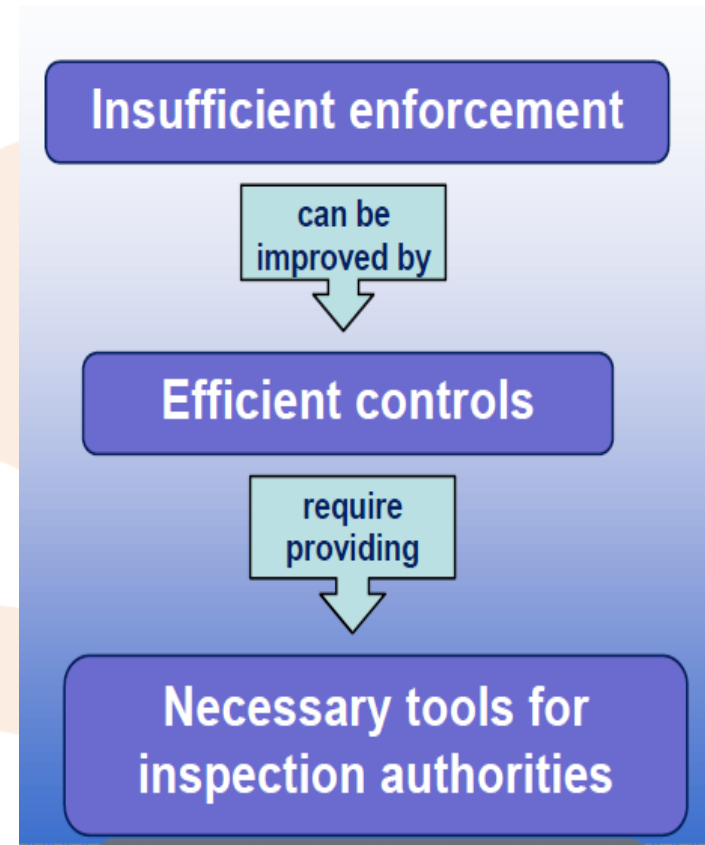
Welfare Compliance

- [1. Introduction](#)
- [2. Transporter Authorisation Scheme](#)
- [3. Vehicle Approval and Certificates of Competence](#)
- [4. Before the Journey - Journey Logs](#)
- [5. Inspection of Ponies Prior to Export](#)
- [6. Before the Journey - Sea And Air Transport Additional Checks](#)
- [7. During Journeys](#)
- [8. Procedures to be Adopted in Forecast Rough Weather Conditions](#)
- [9. After the Journey](#)

Enforcement

- [1. Cross Checking of Animal Transporter Paperwork](#)
- [2. Enforcement Actions In Great Britain](#)
- [3. Annual collation of Inspection, Infringement and Offences data](#)

Animal angels view



UK Enforcement notices

Where an inspector considers that animals being transported, do not meet the required standards,Serve a Statutory Notice served on the person in charge of the animals to require the animals to be held in suitable.. accommodation with appropriate care until the problem is solved.

There are two Notices

WIT8 for infringements concerning the **animal's welfare and**

WIT9 for non-compliant **means of transport and containers.**



Enforcement policy In Great Britain

Repeat or serious infringements in Great Britain of the relevant welfare in transport legislation may lead to VLAHH taking Regulatory Action against the responsible transporters or organisers, whether they be GB or non GB transporters.

A copy of the enforcement policy document is available for download from the Defra website at

<http://www.defra.gov.uk/foodfarm/farmanimal/welfare/transport/index.htm>

A summary of the provisions covered by the enforcement policy

Records of infringements by transporters - monitored by the Welfare in Transport (WIT) team;

statutory notices issued in more serious infringements where welfare is or may be compromised during a journey and **written warnings** for less serious infringements;

transporters accruing a conviction, Home Office Simple Caution or three Statutory Notices or written warnings within a rolling two year period will be warned that future infringements may lead to regulatory action;

continued infringements may lead to proportionate regulatory action by AHVLA;

•NOTICE WIT 8



STATUTORY NOTICE (Animal Welfare)

**Council Regulation (EC) 1/2005 and the relevant Welfare of Animals (Transport)
Order/Regulations in force at place of issue ⁺**

To:
Address:

I, an inspector appointed for the purposes
of the Animal Health Act 1981, consider that you are transporting the following animals(s)

.....
.....
in a way which is likely to cause injury or unnecessary suffering or which is in contravention
of a provision of Council Regulation (EC) 1/2005, namely:

Pursuant to the **relevant article/regulation of the appropriate Order/Regulations ⁺** you
are required to take the following action to ensure that you comply with the Regulation:

(tick which box applies)

•NOTICE WIT 9



STATUTORY NOTICE (Means of Transport and Containers)

**Council Regulation (EC) 1/2005 and the relevant Welfare of Animals (Transport)
Order/Regulations in force at place of issue⁺**

To:

Address:

I,an inspector appointed for the purposes
of the Animal Health Act 1981, having inspected:

Vehicle Registration Number(s)*

Trailer Registration Number(s)*

Vehicle Approval Certificate Number(s)*

Vessel or Aircraft description*

Container(s) described as follows*

.....
consider that animals may be caused injury or unnecessary suffering if transported in the

Reg. 882/2004 – Official controls (OCs)- planning

OCs should be able to achieve the objectives of Reg. 882/2004 and other relevant AW rules, taking account of:

- Identified risks that may influence...Animal Welfare
- FBOs' past records as regards compliance
- Reliability of own checks
- Any information that might indicate non-compliance -
INTELLIGENCE

Risk assessment

Systems analysis

Which journeys
pose maximum
risk to animals?

Which routes pose
maximum risk to
animals?

Which
transporters,
drivers, attendants
pose maximum
risk to animals?

Which vehicles
pose maximum
risk to animals?

Checks RISK BASED Science and Practical Experience

DRIVERS **SPECIES**



VEHICLES

• SHORT
JOURNEY

• LONG
JOURNEY



INTELLIGENCE
TRANSPORTERS
DISEASE RISK
Cleansing and disinfection



Regulation 882/2004

Official controls planning

OC should be carried out using appropriate techniques developed for that purpose, including:

routine surveillance checks

Markets and abattoirs and ports

more intensive controls such as inspections, verifications, audits...

E.G. Supervised loadings, Multi-agency roadside checks

Official controls (OC) - Training

Staff performing OC shall (CA to ensure)

Receive appropriate training

Keep up to date in their area of competence and receive regular additional training as necessary

*The correct implementation of those techniques requires appropriate training of the staff performing official controls. Training is also required in order to ensure that the competent authorities take decisions in a **uniform way***

UK Training Official Veterinarians and technical staff

OVS should have competence in transport equal/ better than transporters and drivers with respect to provisions of Regulation

Training in Regulations, controls, checking procedures and enforcement

Also update veterinary skills re fitness to travel, forensic pathology, and competency in vehicle standards and transporter / drivers/attendant

Specialist staff for sea and air transport checks

Effect of Driver Behaviour on the Behaviour of Sheep In Transit



Driver/attendant Training DVDs

1. "Driver behaviour" University of Edinburgh / Defra
2. "The road ahead" Humane slaughter Association
3. "Be legal" by animal- I

available miram@animal-i.com

1. "Getting it right first time- killing for disease control" – Defra

GB Welfare in transport team

Manage all instructions and data systems to support competency certification ,vehicle approvals transporter authorisations etc.

Approve all new journey plans

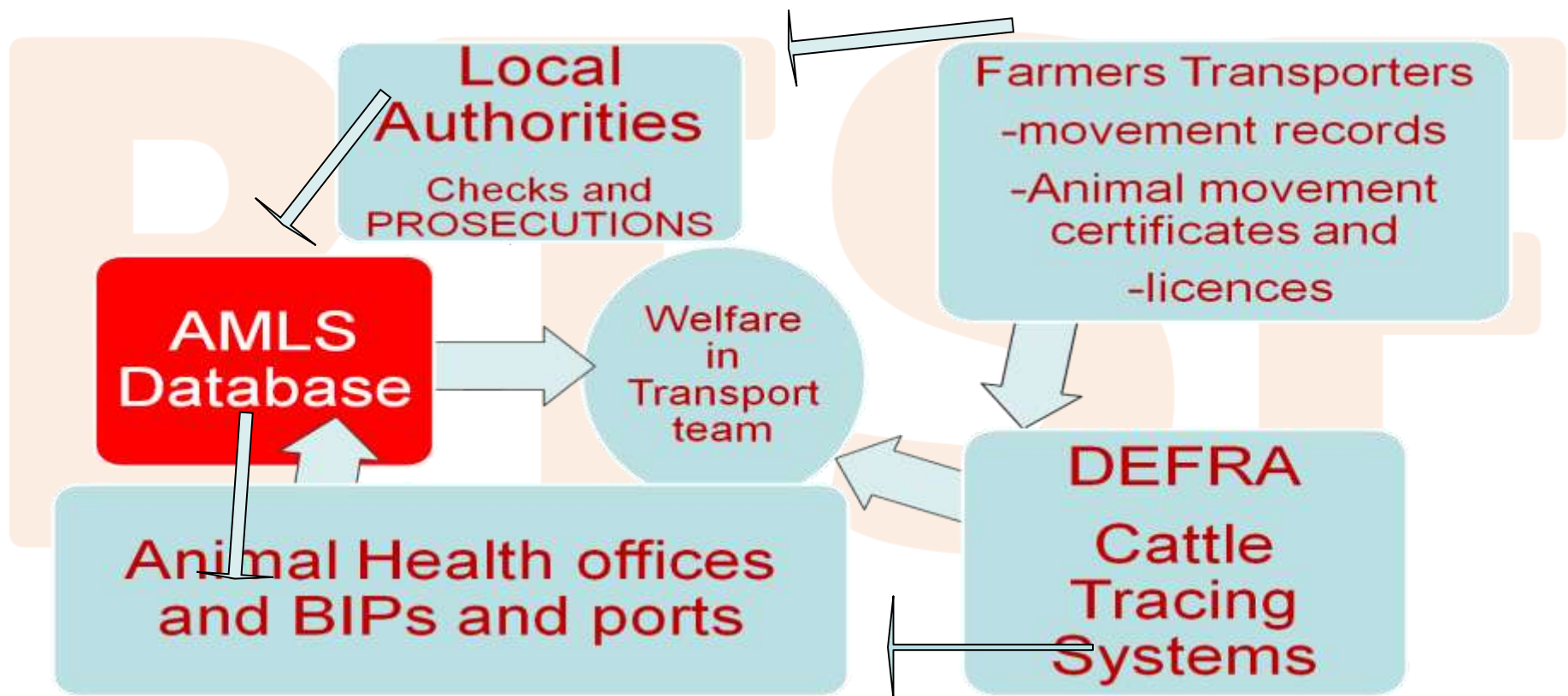
Collate data on journeys from AMLS,CTS

Collate data from AMES – prosecutions

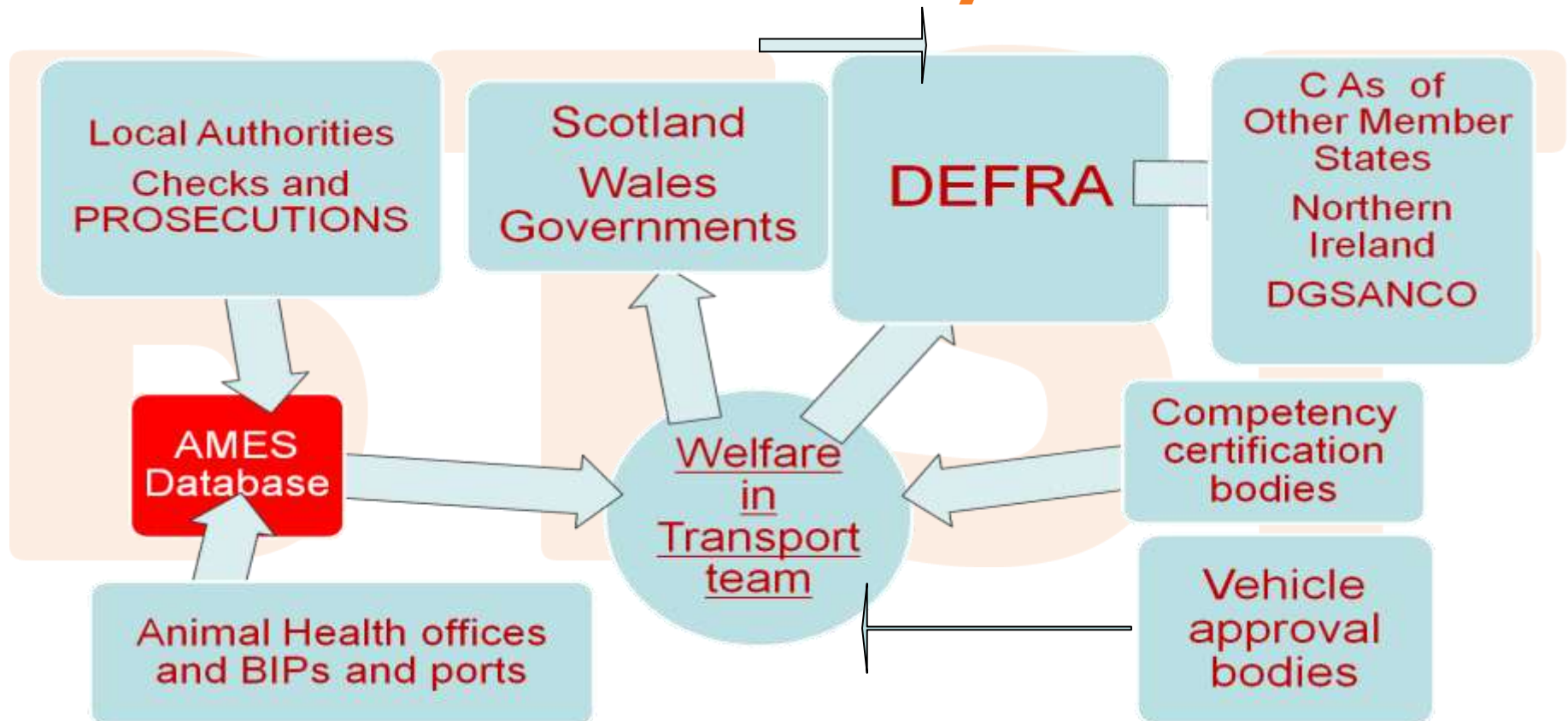
Withdraw transporter authorisations etc.

Audit

Welfare in Transport Flow of Journey data



Welfare in Transport Flow of Journey data



Animal Health and Welfare Management and Enforcement System (AMES)

Keeps a running record of transport infringements and automatically flags up transporters reaching threshold for Regulatory Action (Three infringements)

Records compliance checks/infringements/action for other welfare inspections (e.g. on farm)

Which together with details of transport checks allows consideration of suitability of transporters to be authorised



European
Commission



European
Commission

Commission Decision 2013/188/EU

Annual reports on non-discriminatory inspections.

Proforma electronic model

- No of inspections
- No of animals physically checked
- Means of transport
- Accompanying documentation
- Non-compliance – category & No.
- Actions taken

Health and
Consumers

Consumers,
Health And Food
Executive Agency

22.4.2013



Official Journal of the European Union

L 111/187

COMMISSION IMPLEMENTING DECISION of 19 April 2013

on annual reports on non-discriminatory inspections carried out pursuant to Council Regulation (EC) No 1/2005 on the protection of animals during transport and related operations and amending Directive 609/1986 and 853/1986 and Regulation (EC) No 1259/97

(notified under document C(2013) 12048)

(Text with EEA relevance)

(2013/118/EU)

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Council Regulation (EC) No 1/2005 of 12 December 2004 on the protection of animals during transport and related operations and amending Directive 609/1986 and 853/1986 and Regulation (EC) No 1259/97, and in particular Article 18(2) of that Regulation,

Whereas:

- Regulation (EC) No 1/2005 lays down rules for the transport of live vertebrate animals except for within the Union, including the specific checks to be carried out by officials on consignments arriving or leaving the common territory of the Union. Article 17(1) of Regulation (EC) No 1/2005 provides that the competent authorities of the Member States shall, by carrying out non-discriminatory inspections at least, ensure the compliance of the accompanying documents (the annual reports). The annual reports are to be accompanied by an analysis of the most deficiencies observed and an action plan to address them.
- The Report from the Commission to the European Parliament and the Council on the impact of Council Regulation (EC) No 1/2005 on the protection of animals during transport (7) considered that implementing measures should be adopted concerning the reports to be performed by the competent authorities of the Member States in accordance with Article 17(1) of Regulation (EC) No 1/2005.
- This report also considered that the format of the reporting system should be further harmonised, so it could provide better and more comparable data.
- Accordingly, this Decision should establish a harmonised model form for the annual reports sent, in order to

reduce the administrative burden on Member States; it should also provide for the annual reports to be submitted electronically to the Commission.

- Non-discriminatory inspections are carried out by the competent authorities at different stages of a journey. They are carried out before departure, during the journey, at arrival at the place of destination and after the completion of the journey. During a non-discriminatory inspection, the competent authority may carry out a number of checks to verify compliance with Union legislation. This may include checking that animals are fit for being transported, checking that the means of transport is fulfilling the requirements of Union legislation or that the transporters have the necessary authorisations. The competent authority may not be informed beforehand.
- Transport routes, although they are non-discriminatory inspections, will be carried out before departure on long journeys between Member States and with third countries and after arrival at the place of destination when it is a slaughterhouse and such non-discriminatory inspections shall comprise checks of large numbers of animals. Accordingly, in the annual reports these non-discriminatory inspections should be listed separately from random and risk-based, non-discriminatory inspections which are usually less frequent and only cover a smaller number of animals.

- Non-discriminatory inspections carried out before or during journeys include checks by the competent authority of any accompanying documents that are required to be made available to those non-discriminatory inspections. These documents should be submitted to the competent authority before departure, after the completion of a journey, which includes checks of documentary data or physical data, depending on circumstances. The sole purpose of verifying compliance with the requirements set out in paragraphs 1, 4, 5, 17 and 18 of Council Directive 609/1986 and in Regulation (EC) No 1259/97 concerning journey times and resting periods.
- Accordingly, in order to ensure a proper completion of the information collected during the non-discriminatory inspections, this Decision should provide for three different types of non-discriminatory inspections to be reported separately for the purposes of the annual reports. These three types of non-discriminatory inspections should cover: (a) non-discriminatory inspections carried out at a place of departure before the

Available: <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2013:111:0107:0114:EN:PDF>

Commission Decision 2013/188/EU

PART 2

23.4.

PART 2

[Member State]

[yyyy]

Table 1

Types of non-discriminatory inspections carried out pursuant to Article 27(1) of Regulation (EC) No 1/2005

Section A: number of non-discriminatory inspections carried out by the competent authority

Section B: number of animals, means of transport and accompanying documents checked during the non-discriminatory inspections

Species (*):	Bovine			Porcine			Ovine ~ Caprine			Equidae			Other Species (specify and add columns as necessary)		
	1	2	3	1	2	3	1	2	3	1	2	3	1	2	3
Types of non-discriminatory inspections (**)															
Section A															
Number of non-discriminatory inspections															
Section B															
Animals															
Means of transport															
Accompanying documents															

(*) List the number of non-discriminatory inspections in Section A and list the number of animals, means of transport and accompanying documents checked in Section B, separately for the different species of animals.

23.4.2013

EN

Official Journal of the European Union

COMMISSION IMPLEMENTING DECISION of 18 April 2013

on annual reports on non-discriminatory inspections carried out pursuant to Council Regulation (EC) No 1/2005 on the protection of animals during transport and related operations and amending Directives 64/432/EEC and 93/119/EC and Regulation (EC) No 1255/97



COMMISSION IMPLEMENTING DECISION

2013/188/EU

on annual reports on non-discriminatory inspections ..to
Council Regulation (EC) No 1/2005 Directives
64/432/EEC and 93/119/EC and Regulation (EC) No
1255/97

Annex 1 Model form

Table 1 Number of inspections/Number of animals

Table 2 Non compliances

Table 3 Actions taken

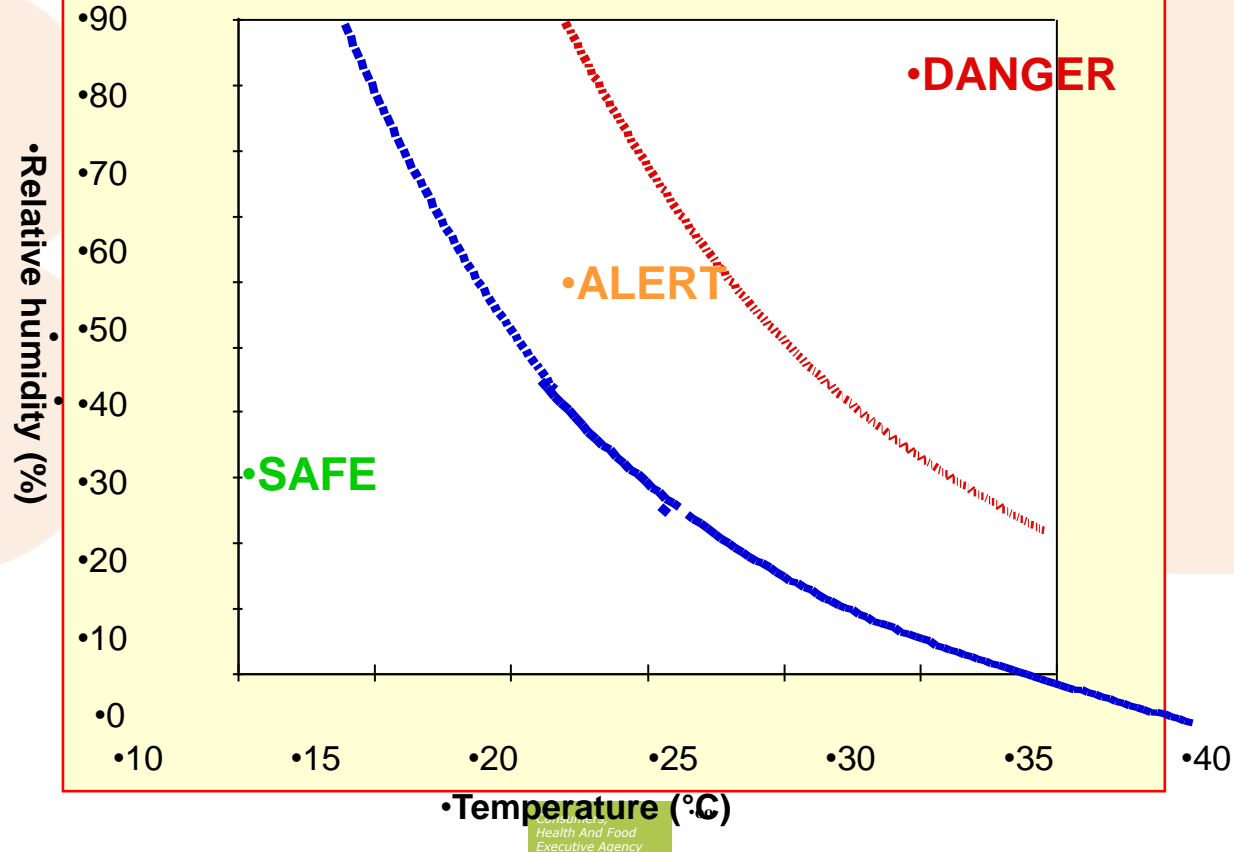
Analysis of deficiencies ACTION PLAN to address deficiencies

Annex II Explanatory Notes



Risk assessment MAJOR RISKS Heat Stress

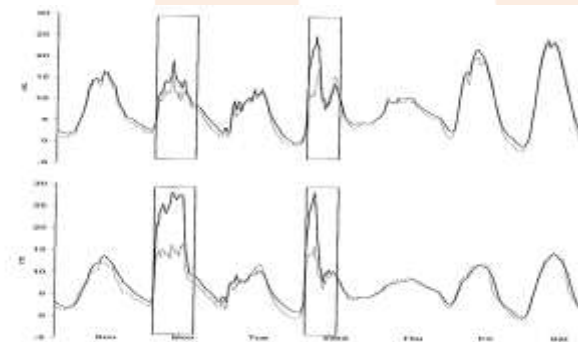
Quality of journey Vehicle ventilation - Contingency planning GB
Hot and cold weather warning letter



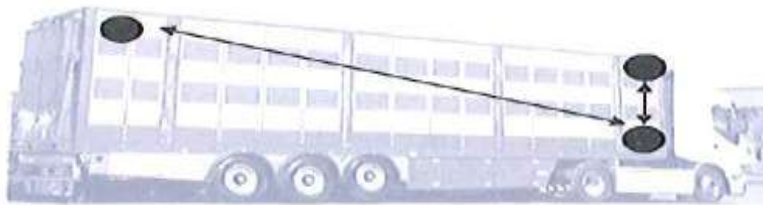
Research and enforcement

For example, if animals are being transported on long journeys in temperatures over 30°C then, at present that is an enforcement issue.

If, however, the industry believes that the current limits are incorrect, then that IS a potential area for research. .



Picture 2: Example of temperatures variations over a typical week in two vehicles for the front sensors (continuous line) and rear (dotted line) sensors in the upper tier (chart above) and in the lower tier (chart below). The shaded squares indicates the periods of two declared animal



Picture 4: Position of sensors in a semi-trailer with the lowest correlation



STUDY ON TEMPERATURES DURING ANIMAL TRANSPORT

FINAL REPORT

Limited distribution

IN THE FRAMEWORK OF THE
ADMINISTRATIVE ARRANGEMENT
BETWEEN DG SANCO AND JRC
N° 20776/2007/11 ALCX/107 (AMENDED)

G. Elms, P. Wanda, J. Hofer, S. Molteni, E. Samel

Document reference: COT-THYA(2009)
Version no: 1.5
Issue: Final
Date of issue: September 2009



Animal welfare officers

FAWC report on Gatherings 2005

The Market Strategy Document issued by MAFF in 1998 recommended that markets should appoint an Animal Welfare Officer (AWO) to “deal with complaints and actively ensure that proper practices are followed”.

Assurance schemes- Animal welfare officers



Animal Welfare Training

Professional Training, Education & Consultancy for the Meat Industry worldwide



Animal Welfare Officer - Farm - 2 days

The Animal Welfare Officer - Farm training course (AWOF) has been developed to deliver understanding and information on improving animal welfare on farm during production. The AWOF course is a 2 day residential training course designed for personnel responsible for on farm welfare, compliance with standards for farm assurance schemes, or who work with animal welfare based customer specifications and legislation. The presentations, discussion groups, workshops and training - focus on the background science, current legislative requirements and practical detail delivered by tutors who have been involved in the underpinning welfare research. Relevant legislation, codes of practice and SOPs are referenced, and workshop activities are used to support training in approaches to on-farm welfare. The course results in a certificate of attendance as a Animal Welfare Officer - Farm from AWT.

http://www.awtraining.com/AWT/Welfare_Officer.html



UK Approach to improving welfare by strengthening veterinary checks

Not just about inspection enforcement

Behaviour change requires much more

Animal welfare delivery strategy

Assurance schemes- Animal welfare officers

Robust system of instructions and IT to support enforcement

Research to support policy and enforcement



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*Istituto Zooprofilattico Sperimentale dell'Abruzzo e del Molise
"G. Caporale"*

*Campo Boario, 64100, Teramo, Italy
Email: sancotraining@izs.it
Website: www.sancotraining.izs.it, www.izs.it
Phone: +39 0861 332673*

Better Training for Safer Food BTSF

*European Commission
Consumers, Health and Food Executive Agency
DRB A3/042
L-2920 Luxembourg*